

**Tony Kershaw**  
Director of Law and Assurance

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8 July 2022

## **Regulation, Audit and Accounts Committee**

A meeting of the committee will be held at **10.30 am** on **Monday, 18 July 2022** at **County Hall, Chichester, PO19 1RQ**.

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Director of Law and Assurance

### **Agenda**

#### **1. Declarations of Interest**

Members and officers must declare any pecuniary or personal interest in any business on the agenda. They should also make declarations at any stage such as an interest becomes apparent during the meeting. Consideration should be given to leaving the meeting if the nature of the interest warrants it. If in doubt please contact Democratic Services before the meeting.

#### **2. Minutes of the last meeting of the Committee (Pages 5 - 10)**

The Committee is asked to agree the minutes of the meeting held on 14 March 2022 (cream paper).

#### **3. Urgent Matters**

Items not on the agenda which the Chairman of the meeting is of the opinion should be considered as a matter of urgency by reason of special circumstances.

#### **4. External Audit**

The Committee is asked to consider and note the 2021/22 West Sussex County Council Full Audit Planning Report and the 2021/22 West Sussex Pension Fund Audit Planning Update Report from the External Auditor Ernst & Young (EY).

a) **2021/22 West Sussex County Council Full Audit Planning Report**  
(Pages 11 - 58)

b) **2021/22 West Sussex Pension Fund Audit Planning Update Report**  
(Pages 59 - 92)

5. **Internal Audit Progress Report (June 2022)** (Pages 93 - 118)

Report by the Director of Finance and Support Services, and the Head of Southern Internal Audit Partnership.

The Committee will also receive verbal updates on Directorate progress on Internal Audit actions.

The Committee is asked to note the Internal Audit Progress Report (June 2022).

6. **Internal Audit Annual Report & Opinion 2021-22** (Pages 119 - 138)

Report by the Director of Finance and Support Services, and the Head of Southern Internal Audit Partnership.

The Committee is asked to approve the annual audit report and opinion for the year ended 2021-22.

7. **Internal Audit Plan 2022-23 (Q2)** (Pages 139 - 150)

Report by the Director of Finance and Support Services, and the Head of Southern Internal Audit Partnership.

The Committee is asked to approve the Internal Audit Plan 2022-23 (Q2).

8. **Quarterly Review of Corporate Risk Management** (Pages 151 - 190)

Report by the Director of Finance and Support Services.

The Committee is asked to review the information detailed in the report and the current risk management strategy and provide comment as necessary.

9. **Annual Governance Statement Action Plan 2020/21 Update** (Pages 191 - 206)

Report by the Director of Law and Assurance.

The Committee is invited to note progress on the Action Plan from 2020/21.

10. **Inspection on use of investigatory powers by Trading Standards** (Pages 207 - 212)

Report by the Director of Law and Assurance.

The Committee is asked to note the outcome of the recent inspection and the actions and proposals in response to its recommendations. The Committee is also asked to confirm that a further report be presented at a future meeting to receive a review of the operation of investigatory powers in accordance with the recent inspection output.

11. **Treasury Management Compliance Report - First Quarter 2022/23**  
(Pages 213 - 220)

Report by the Director of Finance and Support Services.

The Committee is asked to review and comment on the Treasury Management Compliance Report.

12. **Date of Next Meeting**

The next meeting of the Committee will be held at 10.30am on 22 September 2022 at County Hall, Chichester.

**To all members of the Regulation, Audit and Accounts Committee**